

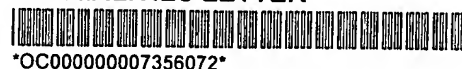
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/010,086	12/06/2001	Robert A. Stanley	A-70310/RMA

CONFIRMATION NO. 2656

## FORMALITIES LETTER



\*OC00000007356072\*

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP  
Suite 3400  
Four Embarcadero Center  
San Francisco, CA 94111-4187

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- o The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- o Total additional claim fee(s) for this application is \$246.
  - \$162 for 9 total claims over 20.
  - \$84 for 1 independent claims over 3.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 1116.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*

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C. Vorachak

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10010085-072402



#4

PATENT  
Attorney Docket No. A-70310/RMA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stanley, et al.

Serial No.: 10/010,086

Filed: December 6, 2001

For: SYSTEM, METHOD, SOFTWARE  
ARCHITECTURE, AND BUSINESS  
MODEL FOR AN INTELLIGENT  
OBJECT BASED INFORMATION  
TECHNOLOGY PLATFORM

Examiner: NOT YET ASSIGNED

Group Art Unit: 1645

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on:

Dated: July 19, 2002

Signed: Karena Moy-Brown  
Karena Moy-Brown

PETITION FOR EXTENSION OF TIME

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

Pursuant to 37 C.F.R. § 1.136(a), an extension of time for four months is hereby requested to respond to the Notice to File Missing Parts of Nonprovisional Application mailed January 24, 2002. Enclosed is our check for the requisite fee of \$720. Applicant claims small entity status. See 37 CFR 1.27.

Although Applicant does not believe any additional fees are required, the Commissioner is authorized to charge any such fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-70310/RMA).

Respectfully submitted,

DORSEY & WHITNEY LLP

R. Michael Ananian, Reg. No. 35,050  
Filed Under 37 CFR § 1.34(a)

Four Embarcadero Center, Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989

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PATENT  
Attorney Docket No. A-70310/RMA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Stanley, et al.

Serial No.: 10/010,086

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Dated: July 19, 2002

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Karena Moy Brown

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed January 24, 2002, enclosed are the following:

- a) Copy of the Notice to File Missing Parts of Nonprovisional Application;
- b) Check in the amount of \$1,278 (\$370-filing fee, \$81-nine additional claims over 20, \$42-one additional independent claim, \$720-four-month extension, and \$65-surcharge);
- c) Nineteen (19) sheets of formal drawings (FIGS. 1-17C) with the required information listed on the back of each sheet;
- d) Petition for Extension of Time (four months); and
- e) Return postcard.

Applicant claims small entity status. See 37 CFR 1.27.

Although Applicant does not believe any additional fees are required, the Commissioner is authorized to charge any such fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Our File A-70310/RMA).

Respectfully submitted,  
DORSEY & WHITNEY LLP

R. Michael Ananian

R. Michael Ananian, Reg. No. 35,050  
Filed under 37 CFR § 1.34(a)

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